

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L15421UP1932PLC022174

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCT6370L

(ii) (a) Name of the company

TRIVENI ENGINEERING AND INI

(b) Registered office address

DEOBAND
saharanpur
Uttar Pradesh
247554
India

(c) *e-mail ID of the company

geeta@ho.trivenigroup.com

(d) * Telephone number with STD code

01204308000

(e) Website

www.trivenigroup.com

(iii) Date of Incorporation

27/07/1932

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes No

(f) Specify the reasons for not holding the same

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

4

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C1	Food, beverages and tobacco products	78.82
2	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	11.58
3	C	Manufacturing	C10	Electrical equipment, General Purpose and Special purpose Machinery & equipment,	2.76
4	E	Water supply, sewerage and waste management	E3	Waste collection, treatment and disposal activities, materials recovery and Other	5.34

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

12

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	TRIVENI ENGINEERING LIMITED	U29119UP2006PLC032060	Subsidiary	100
2	TRIVENI ENERGY SYSTEMS LIM	U40102UP2008PLC034648	Subsidiary	100
3	TRIVENI ENTERTAINMENT LIMI	U52110DL1986PLC024603	Subsidiary	100
4	TRIVENI SUGAR LIMITED	U15122UP2014PLC063454	Subsidiary	100
5	SVASTIDA PROJECTS LIMITED	U41000UP2014PLC063455	Subsidiary	100
6	TRIVENI INDUSTRIES LIMITED	U15122UP2015PLC072202	Subsidiary	100
7	MATHURA WASTEWATER MAN	U41000UP2018PTC105231	Subsidiary	100
8	TRIVENI FOUNDATION	U85300UP2020NPL130021	Subsidiary	100
9	GAURANGI ENTERPRISES LIMI	U70100UP2020PLC130228	Subsidiary	100
10	UNITED SHIPPERS AND DREDC	U74899DL1970PLC005318	Subsidiary	100
11	TRIVENI TURBINE LIMITED	L29110UP1995PLC041834	Associate	21.85
12	Aqwise-Wise Water Technolog		Associate	25.04

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	500,000,000	241,763,110	241,755,110	241,755,110
Total amount of equity shares (in Rupees)	500,000,000	241,763,110	241,755,110	241,755,110

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	500,000,000	241,763,110	241,755,110	241,755,110

Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	500,000,000	241,763,110	241,755,110	241,755,110

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	20,000,000	0	0	0
Total amount of preference shares (in rupees)	200,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	20,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	200,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	239,230	247,705,880	247,945,110	247,945,110	247,945,110	
Increase during the year	0	111,005	111,005	111,005	111,005	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0

viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify		111,005	111005	111,005	111,005	
Physical to Demat						
Decrease during the year	111,005	6,190,000	6301005	6,301,005	6,301,005	0
i. Buy-back of shares	0	6,190,000	6190000	6,190,000	6,190,000	
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	111,005		111005	111,005	111,005	
Physical to Demat						
At the end of the year	128,225	241,626,885	241755110	241,755,110	241,755,110	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
Physical to Demat						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
Physical to Demat						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE256C01024

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

- Nil
 [Details being provided in a CD/Digital Media] Yes No Not Applicable
- Separate sheet attached for details of transfers Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

46,932,049,145

(ii) Net worth of the Company

14,254,152,140

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	49,966,394	20.67	0	
	(ii) Non-resident Indian (NRI)	37,733,691	15.61	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	77,739,178	32.16	0	
10.	Others	0	0	0	
	Total	165,439,263	68.44	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	49,240,746	20.37	0	
	(ii) Non-resident Indian (NRI)	4,430,638	1.83	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	50	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	6,716,286	2.78	0	
7.	Mutual funds	9,625,186	3.98	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	5,938,691	2.46	0	
10.	Others Clearing Members, Trusts, I	364,250	0.15	0	

	Total	76,315,847	31.57	0	0
--	--------------	------------	-------	---	---

Total number of shareholders (other than promoters) 50,855

Total number of shareholders (Promoters+Public/ Other than promoters) 50,862

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company 41

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
POINT BREAK CAPITAL +	CITIBANK N.A. CUSTODY SERVICES +			1,808,876	0.75
1729 CAPITAL +	C/O GFIN CORPORATE SERVICES L +			815,986	0.34
EMERGING MARKETS +	CITIBANK N.A. CUSTODY SERVICES +			674,838	0.28
DIMENSIONAL EMERG +	CITIBANK N.A. CUSTODY SERVICES +			572,383	0.24
MORGAN STANLEY AS +	CITIBANK N.A. CUSTODY SERVICES +			499,627	0.21
BNS ASIA LIMITED +	HSBC SECURITIES SERVICES GOREG +			458,087	0.19
EMERGING MARKETS +	CITIBANK N.A. CUSTODY SERVICES +			330,865	0.14
PENOBSCOT LIMITED +	TOWER 3, WING B KOHINOOR CIT +			215,929	0.09
AXA ROSENBERG EQU +	CITIBANK N.A. CUSTODY SERVICES +			187,103	0.08
THE BOEING COMPAN +	CITIBANK N.A. CUSTODY SERVICES +			125,763	0.05
UBS FUND MANAGEM +	STANDARD CHARTERED BANK FOI +			125,165	0.05
AEGIS INVESTMENT FU +	C/O KOTAK MAHINDRA BANK LTD +			100,000	0.04
PREMIER INVESTMENT +	C/O KOTAK MAHINDRA BANK LTD +			76,054	0.03
EMERGING MARKETS +	DEUTSCHE BANK AG, DB HOUSE F +			68,314	0.03
ALASKA PERMANENT +	DEUTSCHE BANK AG, DB HOUSE F +			51,495	0.02
EMERGING MARKETS +	CITIBANK N.A. CUSTODY SERVICES +			44,269	0.02
WORLD EX U.S. CORE +	CITIBANK N.A. CUSTODY SERVICES +			40,732	0.02
ACADIAN EMERGING I +	DEUTSCHE BANK AG, DB HOUSE F +			40,218	0.02
EMERGING MARKETS +	DEUTSCHE BANK AG, DB HOUSE F +			39,028	0.02
CITY OF NEW YORK GF +	DEUTSCHE BANK AG, DB HOUSE F +			38,938	0.02

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
EMERGING MARKETS +	DEUTSCHE BANK AG, DB HOUSE F +			38,174	0.02
JAPAN TRUSTEE SERVI +	HSBC SECURITIES SERVICES GOREC +			36,232	0.01
T.A. WORLD EX U.S. CC +	CITIBANK N.A. CUSTODY SERVICES +			34,851	0.01
CC&L Q GLOBAL EQU +	DEUTSCHE BANK AG, DB HOUSE F +			33,762	0.01
CC&L Q EMERGING M +	STANDARD CHARTERED BANK FOI +			31,253	0.01

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	45,880	50,855
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	1	2	1	21.33	5.94
B. Non-Promoter	0	5	0	5	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	5	0	5	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0

Total	2	6	2	6	21.33	5.94
-------	---	---	---	---	-------	------

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Dhruv Manmohan Sawh ⁺	00102999	Managing Director	37,733,691	
Tarun Sawhney	00382878	Managing Director	13,820,236	
Nikhil Sawhney	00029028	Director	14,367,837	
Shekhar Datta	00045591	Director	10,000	29/06/2021
Homai Ardeshir Darwall ⁺	00365880	Director	0	
Santosh Pande	01070414	Director	0	
Sudipto Sarkar	00048279	Director	0	
Jitendra Kumar Dadoo	02481702	Director	0	
Suresh Taneja	AABPT7938B	CFO	13,131	
Geeta Bhalla	AAHPB3256E	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	28/09/2020	56,047	94	68.97

B. BOARD MEETINGS

Number of meetings held

7

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	25/04/2020	8	8	100
2	17/06/2020	8	8	100
3	10/08/2020	8	8	100
4	16/09/2020	8	8	100
5	09/11/2020	8	8	100
6	03/02/2021	8	8	100
7	24/03/2021	8	7	87.5

C. COMMITTEE MEETINGS

Number of meetings held

10

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committ ⁺	16/06/2020	4	4	100
2	Audit Committ ⁺	10/08/2020	4	4	100
3	Audit Committ ⁺	03/09/2020	4	4	100
4	Audit Committ ⁺	16/09/2020	4	4	100
5	Audit Committ ⁺	09/11/2020	4	4	100
6	Audit Committ ⁺	03/02/2021	4	4	100
7	Nomination an ⁺	16/06/2020	3	3	100
8	Stakeholders' ⁺	24/03/2021	4	3	75
9	Corporate Soc ⁺	16/06/2020	4	4	100
10	Corporate Soc ⁺	16/09/2020	4	4	100

D. ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Dhruv Manmo	7	7	100	0	0	0	
2	Tarun Sawhne	7	7	100	9	9	100	
3	Nikhil Sawhne	7	7	100	4	4	100	
4	Shekhar Datta	7	7	100	7	7	100	
5	Homai Ardes	7	7	100	8	8	100	
6	Santosh Pand	7	7	100	4	4	100	
7	Sudipto Sarka	7	6	85.71	7	6	85.71	
8	Jitendra Kuma	7	7	100	0	0	0	

X. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	Dhruv Manmohan S	Chairman and M	0	0	0	0	0
2	Tarun Sawhney	Vice Chairman &	32,074,000	25,000,000	0	7,965,418	65,039,418
	Total		32,074,000	25,000,000	0	7,965,418	65,039,418

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	Suresh Taneja	Group CFO	22,301,200	0	0	1,037,000	23,338,200
2	Geeta Bhalla	Company Secre	9,101,267	0	0	458,240	9,559,507
	Total		31,402,467	0	0	1,495,240	32,897,707

Number of other directors whose remuneration details to be entered

6

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
--------	------	-------------	--------------	------------	---------------------------	--------	--------------

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Shekhar Datta	Director	0	10	0	14.75	24.75
2	Homai Ardeshir Dar	Director	0	11	0	15	26
3	Santosh Pande	Director	0	10	0	10.6	20.6
4	Sudipto Sarkar	Director	0	10	0	12	22
5	Jitendra Kumar Dac	Director	0	10	0	8	18
6	Nikhil Sawhney	Director	0	45	0	9.6	54.6
	Total		0	96	0	69.95	165.95

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

- Company Secretary
- Company secretary in practice

Membership number Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

--

Remove attachment

--

Check Form

--

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

VI(c) Details of Foreign Institutional Investors' (FIIs) holding shares of the Company (contd..)

Name of the FII	Address	Number of shares held	% of shares held
WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR. G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST. MUMBAI-400098	27862	0.01
RAM (LUX) SYSTEMATIC FUNDS - LONG/SHORT EMERGING MARKETS EQUITIES	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25. MAHATMA GANDHI ROAD FORT, MUMBAI-400001	22942	0.01
CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR. G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST. MUMBAI-400098	21929	0.01
DFA INTERNATIONAL CORE EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25. MAHATMA GANDHI ROAD FORT, MUMBAI-400001	21412	0.01
CC & I. Q GROUP GLOBAL EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI-400001	20430	0.01
AMERICAN CENTURY ETF TRUST- AVANTIS EMERGING MARKET S EQUITY ETF	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI-400001	18313	0.01
LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR. G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST. MUMBAI-400098	16194	0.01
DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI-400001	14849	0.01
QUEENSLAND INVESTMENT TRUST NO 2	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR. G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST. MUMBAI-400098	13250	0.01
EMERGING MARKETS TARGETED VALUE PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR. G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST. MUMBAI-400098	11666	0.00
DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR. G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST. MUMBAI-400098	10851	0.00
EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR. G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST. MUMBAI-400098	10696	0.00
OPSEU PENSION PLAN TRUST FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR. G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST. MUMBAI-400098	10492	0.00
JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR. G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST. MUMBAI-400098	7321	0.00

DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI-400098	136	0.00
SOCIETE GENERALE - ODI	SBI-SG GLOBAL SECURITIES SERVICES PL. JEEVAN SEVA EXTENSION BUILDING GROUND FLOOR S V ROAD SANTACRUZ WEST MUMBAI-400054	1	0.00

SURESH GUPTA & ASSOCIATES

COMPANY SECRETARIES

204, Silver Complex, A-73, Opp. Metro Pillar No. 60, Near Nirman, Vihar Metro Station,
Laxmi Nagar, Delhi-110092

Mob. 9312257002, Ph. 011-43061664, Mail: sureshguptacs@gmail.com, www.sureshgupta.in

Form No. MGT-8

*[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]*

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of M/s **Triveni Engineering & Industries Limited** ("the Listed Company") as required to be maintained under the **Companies Act, 2013 (the Act)** and the rules made there under for the financial year ended on 31st March, 2021. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents.

We certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. Its status under the Act: Listed Company.
2. Maintenance of registers/records & making entries therein within the time prescribed therefor.
3. Filing of forms and returns as stated in the Annual Return, with the Registrar of Companies, Regional Director, Central Government, Tribunal, Court or other authorities, wherever required, within/beyond the prescribed time in accordance with the provisions of the Act.
4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed.
5. The Company has fixed record dates, wherever required.
6. The Company has not made any loan and advance to its Directors or persons or firms or Companies referred to in Section 185 of the Act.
7. All related party transactions/ contracts entered into during the financial year are in compliance with Sections 177 and 188 of the Companies Act, 2013.

Contd.....2

Triveni Engineering & Industries Limited.....2

8. During the year, the Company has not issued and/ or allotted equity shares or other securities. There was no transfer or transmission of shares/ alteration or reduction of share capital/ conversion of shares. Further, the Company has no preference shares or debentures. However, the Company has completed the Buy-back of shares approved by its Board of Directors on August 10, 2020. Pursuant to the offer, the Company has bought back and extinguished 61,90,000 fully paid-up equity shares of Re.1/- each at a price of Rs.105/- per share aggregating to Rs.64,99,50,000 representing 2.5% of the issued, subscribed and paid capital of the Company.

9. There was no instance for keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares.

10. The Board of Directors has recommended a dividend of 175% i.e. Re 1.75 per fully paid up equity share of Re 1/- each of the Company for the financial year ended March 31, 2021. During the year, there was no requirement for transfer of unpaid/unclaimed dividend to the Investor Education and Protection Fund (IEPF) in accordance with section 125 of the Act. However, the Company has transferred equity shares of all such shareholders whose dividends had remained unpaid or unclaimed for seven consecutive years or more to the demat account of IEPF.

11. Signing of audited financial statement as per the provisions of Section 134 of the Act and report of Directors is as per sub - Sections (3), (4) and (5) thereof.

12. The Company has duly complied with the constitution/ appointment/ re-appointments/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them.

13. The Company has duly complied with the appointment/ re-appointment of auditors as per the provisions of Section 139 of the Act.

14. During the year, the Company has taken approvals, if required, from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act.

15. The Company has not accepted/ renewed deposits.

16. The Company has complied with provisions of the Act & Rules made there under in respect of loans & borrowings from Banks, Financial Institutions and others and the creation, modification & satisfaction of charge thereof, wherever applicable, during the financial year under review.

17. The Company has complied with provisions of the Act & Rules made there under in respect of grant of loans, making investments and providing guarantees and securities to other bodies corporate, falling under the provisions of Section 186 of the Companies Act, 2013.

18. The Company has not altered the provisions of its Memorandum and Articles of Association during period under the review.

*For Suresh Gupta & Associates
Company Secretaries*

*Suresh Gupta
(Proprietor)
FCS No.:5660, CP No.:5204
Peer Review Cert. No. 740/2020
UDIN:
Date :
Place: Noida*